

# Self-Assembled Plasmonic Nanoparticles on Vertically Aligned Carbon Nanotube Electrodes via Thermal Evaporation

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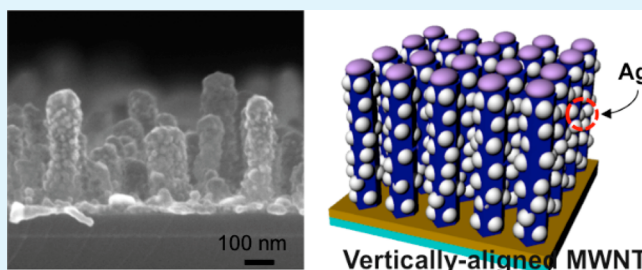
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## S Supporting Information

**ABSTRACT:** This study details the development of a large-area, three-dimensional (3D), plasmonic integrated electrode (PIE) system. Vertically aligned multiwalled carbon nanotube (VA-MWNT) electrodes are grown and populated with self-assembling silver nanoparticles via thermal evaporation. Due to the geometric and surface characteristics of VA-MWNTs, evaporated silver atoms form nanoparticles approximately 15–20 nm in diameter. The nanoparticles are well distributed on VA-MWNTs, with a 5–10 nm gap between particles. The size and gap of the self-assembled plasmonic nanoparticles is dependent upon both the length of the MWNT and the thickness of the evaporated silver. The wetting properties of water of the VA-MWNT electrodes change from hydrophilic ( $\sim 70^\circ$ ) to hydrophobic ( $\sim 120^\circ$ ) as a result of the evaporated silver. This effect is particularly pronounced on the VA-MWNT electrodes with a length of  $1\ \mu\text{m}$ , where the contact angle is altered from an initial  $8^\circ$  to  $124^\circ$ . Based on UV–visible spectroscopic analysis, plasmonic resonance of the PIE systems occurs at a wavelength of approximately 400 nm. The optical behavior was found to vary as a function of MWNT length, with the exception of MWNT with a length of  $1\ \mu\text{m}$ . Using our PIE systems, we were able to obtain clear surface-enhanced Raman scattering (SERS) spectra with a detection limit of  $\sim 10\ \text{nM}$  and an enhancement factor of  $\sim 10^6$ . This PIE system shows promise for use as a novel electrode system in next-generation optoelectronics such as photovoltaics, light-emitting diodes, and solar water splitting.

**KEYWORDS:** plasmonics, self-assembly, vertically aligned multiwalled carbon nanotube, thermal evaporation, contact angle, surface-enhanced Raman scattering



## 1. INTRODUCTION

The study of plasmonics, the interaction between an electromagnetic field and free electrons, is rapidly growing due to extraordinary optical properties.<sup>1–5</sup> Many researchers in a variety of fields such as biology, optics, and electronics expect plasmonic-based photonic technology to provide innovative performance and progressive device efficiency.<sup>6–10</sup> To date, fundamental studies and various applications regarding plasmonics have been extensively studied and reported. Examples include light transfer, confinement, transmission, and scattering.<sup>2–5</sup> Applications in nanophotonic fields include laser beam shaping, imaging, surface-enhanced Raman scattering (SERS), solar cells, and solar water splitting.<sup>7–10</sup> Though the specific issues affecting plasmonic devices vary by application, the most critical consideration for all industry applications is the fabrication of large-area, cost-effective, and reproducible plasmonic systems.

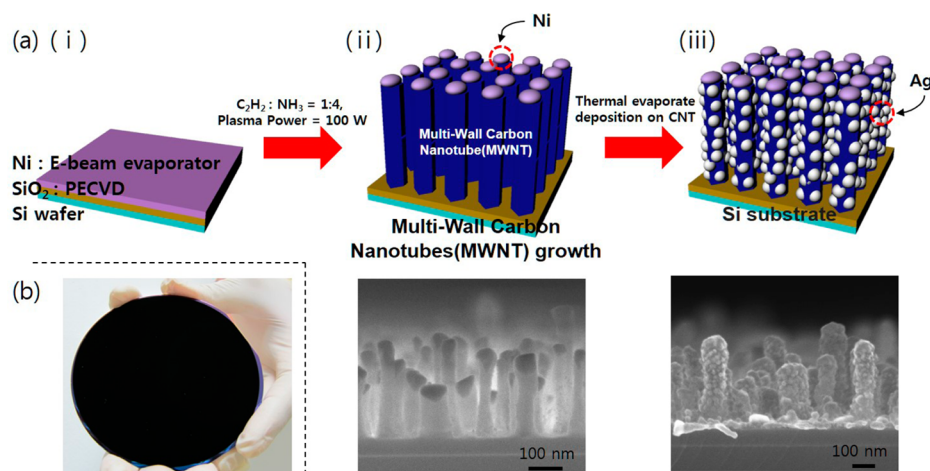
There are many photoelectronic devices that consist of top/bottom electrodes with an active layer (e.g., a photoabsorption

or light-emitting layer) in the middle.<sup>11–15</sup> A plasmonic element could be embedded either in the interface between the electrode and active layer or inside the active layer.<sup>16–19</sup> When plasmonic-embedded devices are fabricated, the dispersion of plasmonic nanoparticles (NPs) without agglomeration is a critical issue. Previously, our group has reported three-dimensionally (3D) distributed plasmonic NPs on zinc oxide (ZnO) nanorods through thermal deposition and a dewetting process.<sup>20</sup> Large-area ZnO nanorods were prepared on a gallium nitride (GaN) substrate; metal deposition and a dewetting process completed the uniformly distributed plasmonic NPs on ZnO nanorods. However, a high-temperature dewetting process over  $500\ ^\circ\text{C}$  requires thermal resistive substrates, which, in some cases, lead to deterioration of the electrical and mechanical properties of the other materials.

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**Figure 1.** Fabrication process for the PIE assembly. (a) Schematic and FE-SEM images for the formation of silver NPs on VA-MWNT electrode. (b) Photograph of large-area 3D PIE on 4 in. wafer.

Therefore, the process and materials are still necessary to improve next-generation plasmonic-embedded photoelectronic devices.

Carbon nanotubes (CNTs) have attracted a great deal of attention due to their unique electrical, chemical, and mechanical properties.<sup>21–24</sup> CNTs have been utilized as a template material for hybridizing metal and semiconducting NPs because they have a large chemically active surface.<sup>25–29</sup> Metal-decorated CNT hybrid structures have been synthesized via a reduction process,<sup>25,26</sup> electroless deposition,<sup>27,28</sup> and electrodeposition<sup>29</sup> for various applications such as catalysts, optics, and nanobiotechnology. Depending on the application, CNTs can be aligned vertically, laterally, or randomly. Most electronic devices require laterally aligned CNTs for an electrical connection. Due to the spatial limits of nanodevices, vertically aligned CNTs (VA-CNT) are in demand for use as 3D conductive structures such as via-connectors in electronic devices. Furthermore, the growth technique of VA-CNT is critically important when using an individual carbon nanotube as an electrical connector or nanostructure in small devices. Our group has also shown the VA-CNT, developed via plasma enhanced chemical vapor deposition (PECVD), and demonstrated a CNT-tipped atomic force microscopy (AFM) probe which was fabricated via the transplanting technique.<sup>30</sup>

We have adopted VA-CNTs in order to improve upon our previous concept for a large-area 3D plasmonic structure,<sup>20</sup> as CNTs have a hydrophobic surface and the vertical geometry of VA-CNT may be effective in a 3D structure. Furthermore, CNTs can be applied in an electron transport medium for a variety of electronic devices. Herein, we introduce a large-area 3D plasmonic-integrated electrode (PIE) assembly using only the evaporation of metal on a vertically aligned multiwalled carbon nanotube (VA-MWNT) electrode. The formation of plasmonic NPs within this process does not require annealing, lending our process a critical advantage. Self-assembled plasmonic NPs on VA-MWNTs are characterized in terms of morphology, wettability, and optical properties. We examine how these characteristics depend on the length of the MWNT and the thickness of silver. The plasmonic performance of our PIE assembly is measured through SERS signals. We believe that our PIE assembly is a promising building block for next-generation optoelectronic nanodevices.

## 2. EXPERIMENTAL SECTION

**2.1. VA-MWNT Growth.** In general, CNTs are synthesized in three steps: the decomposition of hydrocarbon gas (in this study, acetylene (C<sub>2</sub>H<sub>2</sub>) was used) via high temperature and plasma, the diffusion of carbon atoms along the surface and through the bulk of a catalytic island (in this study, nickel (Ni)), and the precipitation of carbon atoms between a catalytic island and a diffusion barrier.<sup>31</sup> Here, VA-MWNTs were synthesized via direct current plasma-enhanced chemical vapor deposition (DC-PECVD). Ni was used to form a catalytic metal layer 7 nm in thickness deposited on the SiO<sub>2</sub>/Si substrate via e-beam evaporator. The catalytic-layer-deposited substrate was loaded onto the heater in a vacuum chamber, and the temperature was gradually increased to 650 °C. At 650 °C, ammonia, as an etching gas, was flushed into the chamber, and a 60 W plasma was generated for 5 min. The temperature of the heater was then increased to 750 °C and the active gas with a NH<sub>3</sub>/C<sub>2</sub>H<sub>2</sub> ratio of 4:1 was injected into the chamber. The power of the plasma was then increased to 100 W, and the time that the 100 W plasma was applied is referred to as the MWNT growth time. MWNTs with lengths of 150, 250, 500, and 1000 nm were synthesized under various MWNT growth times. Following the MWNT growth, the plasma was turned off and the heater was slowly cooled to prevent damage to the MWNTs.

**2.2. 3D FDTD Simulation.** We calculated the electric field distributions on VA-MWNTs both with and without silver NPs using the finite-difference time-domain (FDTD) simulation (Lumerical FDTD Solutions). The optical information on MWNTs followed a previous literature.<sup>32</sup> The unit cell consists of one VA-MWNT on SiO<sub>2</sub> and silver NPs (Supporting Information, Figure S1). In detail, a VA-MWNT with 250 nm in length and 100 nm in diameter was modeled on a SiO<sub>2</sub> substrate. The diameter of the silver NPs was approximately 15 nm, and they were positioned with a gap of approximately 8 nm. The unit cell was embedded in air with periodic boundary conditions at the sidewalls. In this simulation, the incident light was directed normal to the sample surface and was polarized perpendicularly to the propagation direction.

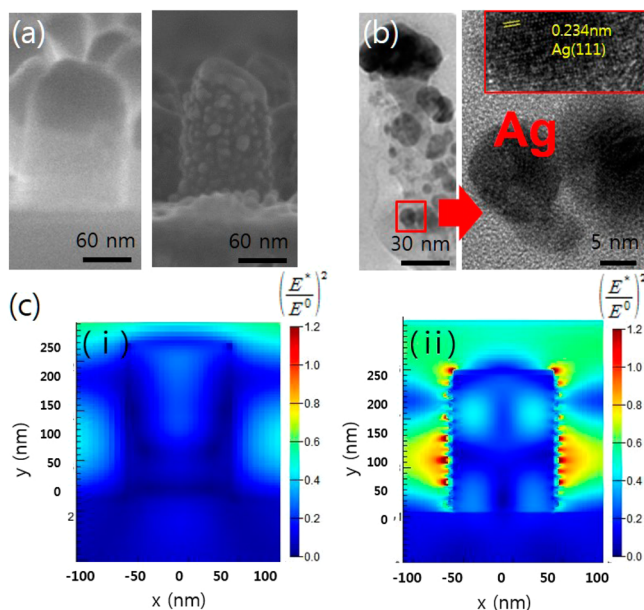
**2.3. Microscopic and Optical Observation.** The surface morphologies were characterized using field emission scanning electron microscopy (FE-SEM; Carl Zeiss, LEO SUPRA 55) and transmission electron microscopy (TEM; JEOL, JEM-ARM200F). A UV-visible photospectrometer (JASCO) was used to measure the reflectance of the samples. SERS spectra were measured via the Raman system (JASCO, NRS-2100) with an excitation wavelength of 532 nm, where the integration time was 4 s, 10 times. The chemical target was rhodamine 6G (R6G).

### 3. RESULTS AND DISCUSSION

#### 3.1. Plasmonic-Integrated Electrode (PIE) Assembly.

Figure 1 shows the fabrication process for the PIE assembly. First, we grew VA-MWNTs on a SiO<sub>2</sub>/Si wafer via DC-PECVD (see the Experimental Section). After preparing the VA-MWNT electrode, we deposited silver using a thermal evaporator. Interestingly, the evaporated silver atoms self-assembled NPs on the VA-MWNTs (see the schematic and FE-SEM images in Figure 1a,iii). We attributed this self-assembly of silver NPs on the VA-MWNTs to the surface and geometrical characteristics of MWNTs. Because the VA-MWNT electrode can be fabricated at the wafer scale, such as the 4 in. wafer used here and depicted in Figure 1b, we could create a large-area 3D PIE assembly. Our PIE assembly fabrication process is simple, cost-effective, and high-throughput, resulting in a PIE platform that is a promising building block for next-generation nanophotonic devices.

As mentioned above, we found that silver atoms exposed to thermal evaporation do not form a film but self-assembled NPs on VA-MWNTs (see the FE-SEM and TEM images in Figure 2a,b). The spacing between adjacent lattice planes in the self-



**Figure 2.** Morphological and electric field characterization for VA-MWNT with and without silver NPs. (a) FE-SEM and (b) TEM images for self-assembled silver NPs on VA-MWNT. (c) Electric field distribution on VA-MWNT (i) with and (ii) without silver NPs.

assembled silver NP was 0.234 nm, indicating the preferential growth of the silver NPs along the (111) orientation (see the high-resolution TEM image inset in Figure 2b). We attribute such a self-assembly of silver atoms to the surface characteristics and vertically aligned geometry of MWNTs. Generally, the surface of a MWNT is hydrophobic due to the low surface energy, which results in poor coverage by other materials. Thus, metal islands are easily created on the MWNT surface. Furthermore, when the MWNTs are vertically aligned, additional evaporated atoms continue to form NPs on the VA-MWNTs. We compared the morphology of evaporated silver with a thickness of 30 nm on laterally organized MWNTs (LO-MWNTs) and VA-MWNTs, as shown in Figure S2 (Supporting Information). The formation of silver NPs on VA-

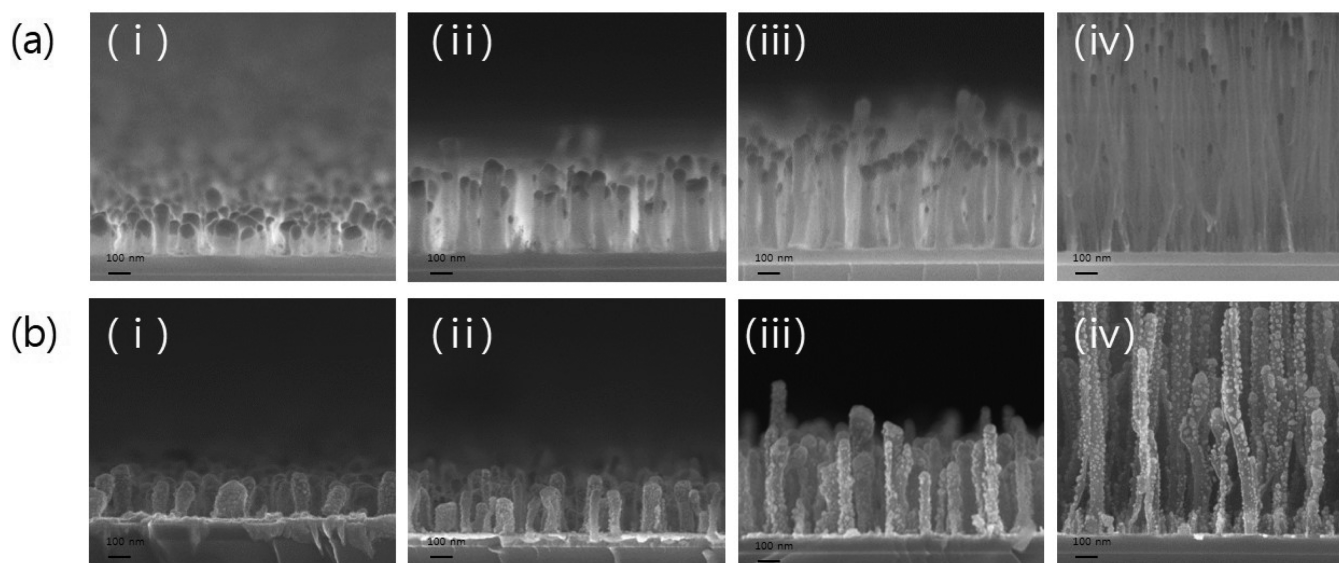
MWNTs is clearly visible. On the other hand, silver atoms on LO-MWNTs formed disconnected films. This formation stems from the hydrophobic characteristic of the MWNTs, as well as the agglomerating property of silver atoms.<sup>33,34</sup> As a result, we conclude that the geometry and material characteristics of MWNTs and silver lead to the formation of self-assembled plasmonic NPs on a VA-MWNT electrode.

We have found that silver NPs on VA-MWNTs play a critical role in electric field enhancement via localized surface plasmons. Figure 2c shows the electrical field distributions on VA-MWNTs both with and without silver NPs using 3D computational electrodynamic calculations based on the FDTD method. The simulation details were provided in the Experimental Section. As shown in Figure 2c,i, a VA-MWNT without silver NPs does not provide any enhanced electrical fields, while the VA-MWNT with silver NPs (referred to as a PIE in this study) shows clearly enhanced electric fields around the silver NPs interfacing with VA-MWNTs due to the localized surface plasmons on the silver NPs. Such an enhanced electric field improves the light absorption and carrier transport at the interface, making the PIE platform a great building block for photonic devices such as solar cells, LEDs, and solar water splitters.

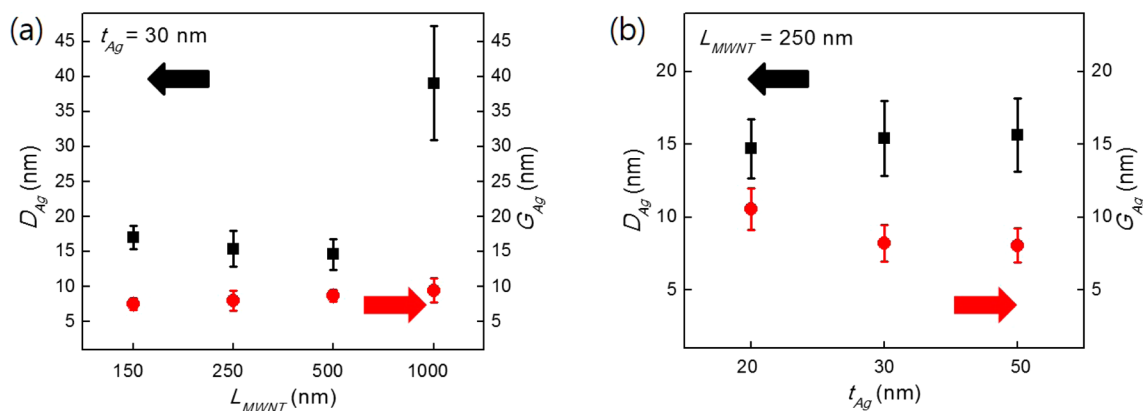
Figure 3 shows the FE-SEM images of VA-MWNT electrodes with average lengths ( $L_{\text{MWNT}}$ ) of 150, 250, 500, and 1000 nm, both before and after the evaporation of silver with a thickness of 30 nm. We deposited silver on VA-MWNT electrodes with thicknesses ( $t_{\text{Ag}}$ ) of 20, 30, and 50 nm, as shown in Figure S3 (Supporting Information). The FE-SEM images clearly show an even distribution of silver NPs on the VA-MWNTs. According to the length of the MWNTs, except the length of 1000 nm, the diameter ( $D_{\text{Ag}}$ ) of the silver NPs is approximately 15–20 nm, and the gap ( $G_{\text{Ag}}$ ) between the NPs is less than 10 nm (Figure 4a). The error bar is the standard deviation of each value. The size of silver NPs on the MWNTs with the length of 1000 nm was various up to ~100 nm (Figure S4, Supporting Information). This size and gap of silver NPs could be effective for plasmonic local field enhancement and plasmonic resonance based on position. By increasing the thickness of evaporated silver from 20 to 50 nm, the size of the silver NPs was slightly increased (approximately 15 nm), and the gap between NPs slightly decreased (Figure 4b).

**3.2. Wettability of the PIE Assembly.** We examined the wettability of the PIE assembly as a function of the thickness of the evaporated silver and length of the MWNTs, as shown in Figure 5. Because MWNTs are essentially hydrophobic, they typically show a contact angle (CA) greater than 90°. However, the VA-MWNTs exhibit a CA of less than 90° prior to silver evaporation due to the vertically aligned geometry of the MWNTs. The CA of VA-MWNTs with a length of 1000 nm is approximately 8°, which is a superhydrophilic characteristic. It can thus be easily understood that surface geometry is a critical factor for the determination of CA. Interestingly, silver evaporation increased all of the CAs to over 90°, some reaching approximately 120°, though silver also has a hydrophilic character, with an average CA of 70–80°. We attribute the change in CA to interfacial effects such as pinning, though this aspect remains an area for further study.

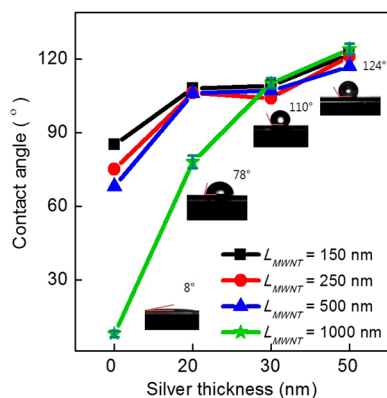
**3.3. Optical Characterizations.** Figure 6 shows the absorption behaviors of PIE assemblies. The absorption spectra differ according to the length of the MWNTs without silver NPs due to the scattering and interference effects (Figure 6a). After silver evaporation, the absorption spectra were found to



**Figure 3.** FE-SEM images of VA-MWNTs with average lengths of (i) 150, (ii) 250, (iii) 500, and (iv) 1000 nm (a) before and (b) after the evaporation of silver with a thickness of 30 nm. Different length of MWNTs and self-assembled silver NPs can be clearly seen.



**Figure 4.** The diameter ( $D_{Ag}$ ) of self-assembled silver NPs and the gap ( $G_{Ag}$ ) between the NPs according to (a) the length of the MWNTs ( $L_{MWNT}$ ) and (b) silver thickness ( $t_{Ag}$ ). The values are average and the error bars are standard deviation for each value.

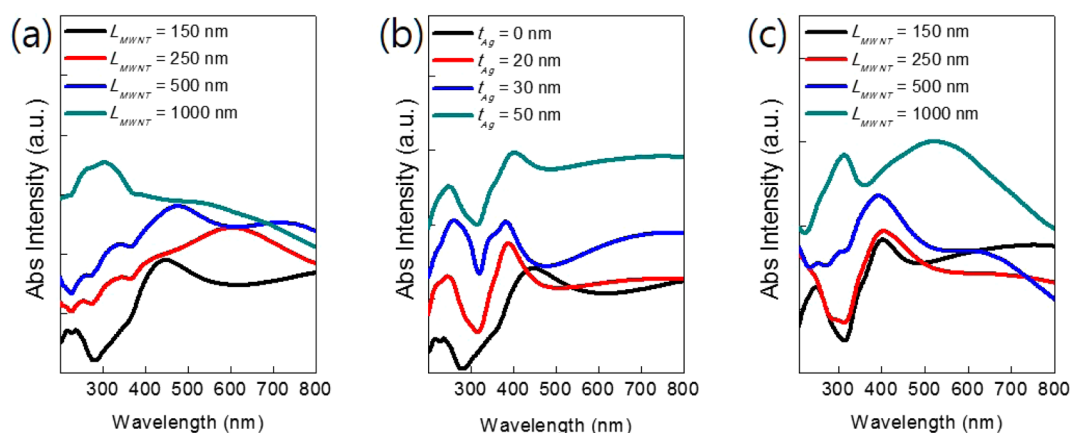


**Figure 5.** Wettability of the PIE assembly. The graph shows the behaviors of contact angle of the PIE assembly as a function of the thickness of the evaporated silver and length ( $L_{MWNT}$ ) of the MWNTs.

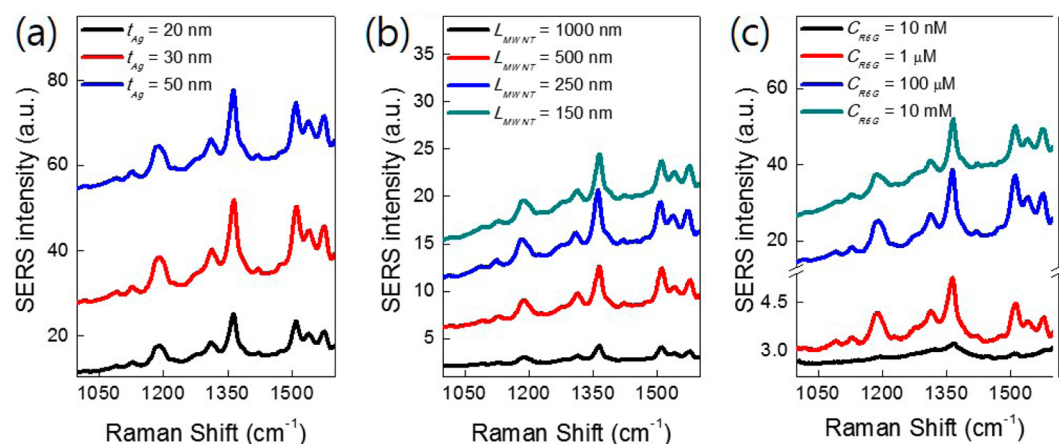
be similar for different silver thicknesses, and the maximum absorption positions were found around 400 nm (Figure 6b). We attribute the similar absorption positions to the localized surface plasmon resonance (LSPR) of silver NPs with a diameter of  $\sim 15$  nm.<sup>39–41</sup> The maximum absorption of around

400 nm could be found for short MWNTs where  $L_{MWNT} = 150$ , 250, and 500 nm. The maximum absorption of  $L_{MWNT} = 1000$  nm was observed suddenly around 550 nm, indicating larger silver NPs on VA-MWNT. Large, aggregated silver NPs (50–100 nm) can be observed in the FE-SEM image of Figure S4 (Supporting Information).

**3.4. SERS Performance.** We investigated the plasmonic enhancement of our PIE assemblies through the SERS performance. First, the dependency of SERS intensities on the thickness of the silver layer ( $t_{Ag}$ ) was examined on the VA-MWNTs with  $L_{MWNT} = 250$  nm, and the maximum SERS signal was obtained at  $t_{Ag} = 30$  nm (Figure 7a). The SERS intensities were then measured according to the length of MWNT ( $L_{MWNT}$ ) at  $t_{Ag} = 30$  nm. As shown in Figure 7b, the maximum SERS signal was obtained at  $L_{MWNT} = 250$  nm. Finally, we investigated the detection limit and SERS enhancement factor (EF) for the PIE assembly with  $t_{Ag} = 30$  nm and  $L_{MWNT} = 250$  nm. We were able to measure the SERS spectra of R6G at low concentrations up to 10 nM (Figure 7c and Figure S5, Supporting Information). Analytical EF was calculated via the equation  $EF = (I_{SERS}/I_{Raman}) \times (C_{Raman}/C_{SERS})$ , where  $I$  and  $C$  denote intensity and concentration, respectively.<sup>42</sup> Based on the measured results and reference data (Figure S5, Supporting



**Figure 6.** Absorption behaviors of PIE assemblies. (a) VA-MWNTs for different lengths ( $L_{\text{MWNT}}$ ) without silver NPs. (b) Different silver thicknesses ( $t_{\text{Ag}}$ ) on VA-MWNT with the length of 150 nm. (c) Different lengths of VA-MWNTs with a silver thickness of 50 nm.



**Figure 7.** SERS analysis for self-assembled silver NPs on VA-MWNTs. (a) Different silver thicknesses ( $t_{\text{Ag}}$ ) on the VA-MWNTs with a length ( $L_{\text{MWNT}}$ ) of 250 nm. (b) Length variations ( $L_{\text{MWNT}} = 150, 250, 500,$  and  $1000$  nm) of VA-MWNTs with  $t_{\text{Ag}} = 30$  nm. (c) Detection limit of SERS signals on PIE assembly with  $t_{\text{Ag}} = 30$  nm and  $L_{\text{MWNT}} = 250$  nm.

Information), the analytical EF was determined to reach approximately  $10^6$ . SERS signals were measured from the minimum three different samples, over two different positions on one sample, and more than 10 times at each position. As shown in Figure S6 (Supporting Information), the SERS signals from our samples were quite uniform. Based on the SERS performance, our PIE assembly shows potential for application in next-generation photonic nanodevices for enhancing device efficiency.

#### 4. CONCLUSIONS

We have successfully demonstrated a large-area 3D PIE assembly based on a VA-MWNT electrode and the thermal evaporation of silver. Our data clearly demonstrate that the silver atoms directly created silver NPs on the VA-MWNTs as a result of surface characteristics and the geometry of the MWNTs, as well as the agglomerating properties of the silver atoms. The silver NPs on the VA-MWNTs measured approximately 15–20 nm in diameter (up to 100 nm for  $L_{\text{MWNT}} = 1000$  nm) with a gap of less than 10 nm, which is an effective geometry for plasmonic field enhancement. The wetting property of VA-MWNTs was changed from hydrophilic to hydrophobic ( $\sim 120^\circ$ ) via the evaporating silver. Based on UV–visible spectroscopic analysis, the plasmonic absorption of our PIE assemblies was found at a wavelength of approximately

400 nm. The PIE assembly shows similar optical behaviors that varied as a function of the length of the MWNT, with the exception of  $L_{\text{MWNT}} = 1000$  nm. We provided the summarized table (Table S1, Supporting Information) of our results according to the experimental parameters in the Supporting Information. Finally, we were able to obtain clear SERS spectra from our PIE systems where the detection limit and EF were 10 nM and  $\sim 10^6$ , respectively. We expect that our PIE platform will be a novel building block for an advanced electrode in future optoelectronic nanodevices.

#### ■ ASSOCIATED CONTENT

##### Supporting Information

Simulation details; FE-SEM images of LO-MWNT and VA-MWNT; size distribution of the self-assembled NP; SERS data for detection limit, reference, and uniformity; and summarized table. This material is available free of charge via the Internet at <http://pubs.acs.org>.

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##### Author Contributions

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## Notes

The authors declare no competing financial interest.

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